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**UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. §1.53(b)**

031088 U.S. PTO  
10/714924  
111803

Patent and Trademark Office  
South Clark Place  
Customer Window, Mail Stop Patent Application  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, VA 22202

Case Docket No.: HES-0002

Sir:

Transmitted herewith for filing is the patent application of  
Nelson SCHNEIDER

FOR: METHOD FOR CONDUCTING A HOME EQUITY SALES PROGRAM

Enclosed are:

1. ☒ 56 pages of specification, claims, abstract
2. ☒ 14 sheets of FORMAL drawing.
3. ☒ 2 pages of newly executed Declaration & Power of Attorney (copy or original).
4. ☐ Priority Claimed to Appln. No(s). \_\_\_\_\_ filed \_\_\_\_\_, whose entire disclosure is incorporated herein by reference.
5. ☒ Applicant claims Small Entity Status.
6. ☐ Information Disclosure Statement, Form PTO-1449 and reference.
7. ☐ Assignment Papers for \_\_\_\_\_ (cover sheet, assignment & assignment fee).
8. ☐ Certified copy of \_\_\_\_\_.
9. ☒ Two (2) return postcards.  
☒ Stamp & Return with Courier.  
☒ Prepaid Postcard-Stamped Filing Date & Returned with Unofficial Serial Number.
10. ☒ Authorization under 37 C.F.R. §1.136(a)(3).
11. ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
12. ☐ Other:

CLAIMS AS FILED					
For	No. Filed		No. Extra	Rate	Fee
Total Claims	43	- 20	23	X \$9.00	\$207.00
Indep. Claims	1	- 3		X \$43.00	
Multiple Dependent Claims (If applicable)				X \$290.00	
BASIC FEE					\$385.00
TOTAL FILING FEE					\$592.00

- ☐ This is a Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ filed \_\_\_\_\_. Incorporation By Reference of the entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☐ Amend the specification by inserting before the first line the sentence:  
--This application is a continuation-in-part of Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_.--
- ☒ A check in the amount of \$592.00 (Check # 10647) is attached.
- ☐ Please charge my Deposit Account No. 16-0607 in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.

- ☒ The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 16-0607.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☒ Any patent application processing fees under 37 C.F.R. 1.17.
- ☒ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.

**Please direct all correspondence to Customer Number 34610**

Respectfully Submitted,  
FLESHNER & KIM, LLP



John C. Eisenhart  
Registration No. 38,128  
Samuel W. Ntiros  
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P.O. Box 221200  
Chantilly, Virginia 20153-1200  
703 502-9440 MLF:JCE:SWN/kdb  
Date: November 18, 2003



Docket No.: HES-0002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Nelson SCHNEIDER

Serial No.: NEW

Filed: November 18, 2003

For: METHOD FOR CONDUCTING A HOME EQUITY SALES PROGRAM

**AUTHORIZATION TO TREAT A REPLY AS INCORPORATING  
AN EXTENSION OF TIME UNDER 37 C.F.R. §1.136(a)(3)**

U.S. Patent and Trademark Office  
2011 South Clark Place  
Customer Window  
Crystal Plaza Two, Lobby, Room 1B03  
Arlington, Virginia 22202

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time under 37 C.F.R. 1.136(a)(3). The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 16-0607, if such fees are not otherwise provided for in such reply. A duplicate copy of this sheet is enclosed.

Respectfully submitted,  
FLESHNER & KIM, LLP

John C. Eisenhart  
Registration No. 38,128  
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